

# Roundtable Discussion

## Global and Regional Compliance and Ethics Programs in Japan The Challenges and Opportunities for Harmonization

Speakers:



**Michael Donnella**

Director, Temple Law  
Compliance & Ethics Center



**Laurence W. Bates**

Managing Executive Officer  
Panasonic Corporation



**Kaede Toh**

Partner  
Latham & Watkins, Tokyo



**Alexander Dmitrenko**

Partner-elect  
Ashurst, Tokyo



**Royanne Doi**

Corporate Governance  
Specialist



**Kei Matsumoto**

Senior Expert, Compliance  
Project Management Japan  
Olympus Corporation

### REGISTRATION



**8 DECEMBER 2021**  
**9 AM - 10:30 AM JST**

ONLINE

Inquiries email: [tujlaw@tuj.temple.edu](mailto:tujlaw@tuj.temple.edu)

# Roundtable Discussion

Wednesday, December 8, 2021

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## Global and Regional Compliance and Ethics Programs in Japan The Challenges and Opportunities for Harmonization

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Temple Law School's Center for Compliance & Ethics hosts this roundtable to launch its educational initiatives in international compliance and ethics at the Temple University Japan Campus, Law Program.

Businesses in Japan face many challenges in trying to harmonize their global and regional compliance and ethics programs. Often there is little opportunity to hear directly from corporates and legal service providers about the compliance and ethics issues they handle. During this roundtable, our distinguished speakers, who are industry leaders in global compliance and ethics, will share their "war stories" and discuss important issues related to compliance enforcement, investigation, and program development in cross-jurisdictional settings. The roundtable will provide a forum for participants to learn valuable lessons, including dealing with cross-jurisdictional and cross-cultural factors affecting Japanese and international businesses. Moderated by Alexander Dmitrenko, Chair of the Temple Law Japan Committee for the Center's Advisory Board.

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### Agenda:

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| <b>9:00 - 9:05 am</b>   | <b>Welcome by Tina Saunders, Temple Law Japan Director</b>   |
| <b>9:05 - 9:20 am</b>   | <b>Presentation on Temple Law Compliance &amp; Ethics Education by Michael Donnella, Center Director</b> |
| <b>9:20 - 10:25 am</b>  | <b>Roundtable discussion with moderated Q&amp;A</b>  |
| <b>10:25 - 10:30 am</b> | <b>Closing by Tina Saunders</b>  |

**Open to the public at no charge. English language. Online forum.**

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**About Temple Law.** Temple University is a large public institution based in Philadelphia, Pennsylvania. Its law school, founded in 1895, is accredited by the American Bar Association (ABA). At the Tokyo, Japan campus, the Beasley School of Law runs year-round programs in which students can study in the LL.M., Certificate or J.D. Study Abroad programs. *Learn more at <https://www.tuj.ac.jp/law>*

## Speakers:

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**Michael Donnella** is *Practice Professor of Law and Director of Temple Law's Center for Compliance and Ethics*. He teaches Compliance and Ethics. Professor Donnella has global expertise derived from disparate business sectors. Prior to joining Temple Law, he was a Corporate Compliance Officer at an Arkansas-based international oil & gas exploration and production company. He has previously served as Vice President, Chief Compliance Officer, and Assistant General Counsel of Wyeth Pharmaceuticals (now Pfizer) and as chief counsel in a number of Wyeth's global divisions.

**Laurence ("Larry") W. Bates** joined Panasonic Corporation in April 2018. His roles include Managing Executive Officer, General Counsel, Chief Risk Management Officer, Chief Compliance Officer, and the Board of Directors as Director of the Legal & Compliance Division. Mr. Bates is widely recognized for his leadership in expanding the role and status of in-house lawyers within Japanese companies. Prior to joining Panasonic, he fulfilled key roles as general counsel at LIXIL and GE and also served as president of the American Chamber of Commerce in Japan in 2013. Mr. Bates is a *Board of Overseers member of Temple University, Japan Campus*.

**Kaede Toh** represents clients in white collar enforcement actions brought by regulators around the world, as well as in patent litigation. Ms. Toh regularly represents multinational corporations and individuals in actions involving the US Department of Justice (DOJ) and non-US regulators. Her work includes DOJ investigations, internal investigations, and civil litigation. In addition to her investigations work, Ms. Toh works closely with clients across industries to review and enhance their compliance programs, including drafting policies and procedures, conducting anti-corruption risk assessments, as well as conducting pre-acquisition anti-corruption due diligence and post-acquisition compliance enhancement, including training.

**Royanne Doi** is currently an Outside Director to Gojo & company, a social impact start-up company investing in micro-finance banks in emerging markets. Ms. Doi most recently served as Advisor for Global Legal, Ethics & Compliance for Yamaha Corporation. Ms. Doi has held senior legal positions with major financial institutions including Prudential Financial, Inc., State Street Bank and Trust Company and CIGNA/ACE Insurance.

**Kei Matsumoto** has years of experience working in the Compliance department of Olympus corporation. As a manager, he plans and implements compliance management systems covering compliance governance, codes of conduct, policies and procedures, training for employees and third parties, and whistleblower investigations.

## Moderated by:

**Alexander Dmitrenko** is a partner-elect at Ashurst Tokyo. His practice focuses on compliance-related issues, including sanctions, export controls, anti-bribery, anti-money laundering, human rights, cyber security, product liability and other regulatory matters. Prior to Ashurst, he worked at other top-tier international law firms as well as Sojitz Corporation. He is legally qualified in NY State, Russia and England & Wales. He is also registered as a Japanese Gaikokuho Jimu Bengoshi (as a NY lawyer). Mr. Dmitrenko chairs the Temple Law Compliance & Ethics Center Japan Committee, Advisory Board and teaches *International Compliance Law* at Temple Law Japan.